



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeff A. Krolik et al.

Serial No.: 10/684,942

Examiner: Unknown

Filed: October 14, 2003

Group Art Unit: Unknown

For: SYSTEMS AND METHODS FOR VASCULAR FILTER RETRIEVAL

Docket No.: 1001.1503102

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10th day of December, 2003.

By

Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (Pursuant to 37 CFR §1.98(d), copies of the references are not being submitted herewith.)
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

Glenn M. Seager, Reg. No. 36,926
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



P A T E N T

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CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15 day of December, 2003.

By

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Copies of the documents listed on the 1449 Form were previously either submitted by Applicant or cited by the U.S. Patent and Trademark Office in a prior application, U.S. Application No. 09/764,732, filed January 16, 2001, now U.S. Patent No. 6,663,651, upon which Applicant relies for the benefits provided in 35 U.S.C. §120.

Therefore, under the provisions of 37 C.F.R. §1.98(d), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Dec. 10, 2003

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926

Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC

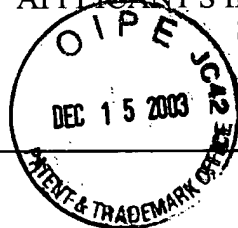
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FORM PTO-1449	Atty. Docket No.: 1001.1503102	Serial No.: 10/684,942
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Krolik et al.	
	Filing Date: October 14, 2003	Group Art: Unknown



PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,663,651	12/16/03	Krolik et al.			
	2002/0042626 A1	04/11/02	Hanson et al.			
	6,217,600 B1	04/17/01	DiMatteo			
	6,214,026	04/10/01	Lepak et al.			
	6,203,561	03/20/01	Ramee et al.			
	6,179,861	01/30/01	Khosravi et al.			
	6,179,859	01/30/01	Bates et al.			
	6,179,851	01/30/01	Barbut et al.			
	6,171,327	01/09/01	Daniel et al.			
	6,168,579	01/02/01	Tsugita			
	6,165,200	12/26/00	Tsugita et al.			
	6,152,946	11/28/00	Broome et al.			
	6,142,987	11/07/00	Tsugita			
	6,129,739	10/10/00	Khosravi			
	6,086,605	07/11/00	Barbut et al.			
	6,068,645	05/30/00	Tu			
	6,059,814	05/09/00	Ladd			
	6,053,932	04/25/00	Daniel et al.			
	6,051,014	04/18/00	Jang			
	6,027,520	02/22/00	Tsugita et al.			
	6,013,085	01/11/00	Howard			
	6,010,522	01/04/00	Barbut et al.			
	6,007,557	12/28/99	Ambrisco et al.			
	6,001,118	12/14/99	Daniel et al.			

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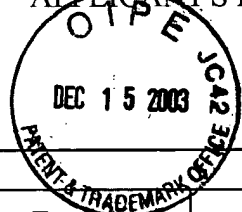
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	5,997,557	12/07/99	Barbut et al.			
	5,993,469	11/30/99	McKenzie et al.			
	5,989,281	11/23/99	Barbut et al.			
	5,989,271	11/23/99	Bonnette et al.			
	5,989,210	11/23/99	Morris et al.			
	5,976,172	11/02/99	Homsma et al.			
	5,954,745	09/21/99	Gertler et al.			
	5,951,585	09/14/99	Cathcart et al.			
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	5,941,896	08/24/99	Kerr			
	5,941,869	08/24/99	Patterson et al.			
	5,938,645	08/17/99	Gordon			
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	5,916,193	06/29/99	Stevens et al.			
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	5,910,154	06/08/99	Tsugita et al.			
	5,908,435	06/01/99	Samuels			
	5,906,618	05/25/99	Larson, III			
	5,902,263	05/11/99	Patterson et al.			

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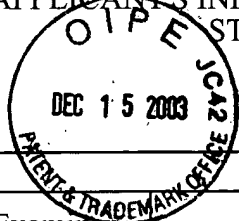
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	5,895,399	04/20/99	Barbut et al.			
	5,893,867	04/13/99	Bagaoisan et al.			
	5,876,367	03/02/99	Kaganov et al.			
	5,848,964	12/15/98	Samuels			
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	5,833,650	11/10/98	Imran			
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	5,827,324	10/27/98	Cassell et al.			
	5,817,102	10/06/98	Johnson et al.			
	5,814,064	09/29/98	Daniel et al.			
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	5,797,952	08/25/98	Klein			
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	5,728,066	03/17/98	Daneshvar			
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	5,695,519	12/09/97	Summers et al.			
	5,669,933	09/23/97	Simon et al.			
	5,662,671	09/02/97	Barbut et al.			

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,658,296	08/19/97	Bates et al.			
	5,634,897	06/03/97	Dance et al.			
	5,569,275	10/29/96	Kotula et al.			
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	5,562,724	10/08/96	Vowork et al.			
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	5,409,454	04/25/95	Fischell et al.			
	5,405,377	04/11/95	Cragg			
	5,397,345	03/14/95	Lazerus			

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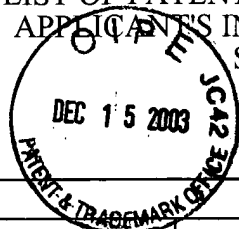
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	5,395,349	03/07/95	Quiachon et al.			
	5,387,235	02/07/95	Chuter			
	5,383,926	01/24/95	Lock et al.			
	5,383,892	01/24/95	Cardon et al.			
	5,383,887	01/24/95	Nadal			
	5,376,100	12/27/94	Lefebvre			
	5,370,683	12/06/94	Fontaine			
	5,370,657	12/06/94	Irie			
	5,366,473	11/22/94	Winston et al.			
	5,366,464	11/22/94	Belknap			
	5,356,423	10/18/94	Tihon et al.			
	5,354,310	10/11/94	Garnic et al.			
	5,350,398	09/27/94	Pavcnik et al.			
	5,330,500	07/19/94	Song			
	5,330,484	07/19/94	Gunther			
	5,329,942	07/19/94	Gunther et al.			
	5,318,576	06/07/94	Plassche, Jr. et al.			
	5,314,472	05/24/94	Fontaine			
	5,314,444	05/24/94	Gianturco			
	5,306,286	04/26/94	Stack et al.			
	5,224,953	07/06/93	Morgentaler			
	5,195,955	03/23/93	Don Michael			
	5,190,546	03/02/93	Jervis			
	5,171,233	12/15/92	Amplatz et al.			
	5,160,342	11/03/92	Reger et al.			
	5,152,777	10/06/92	Goldberg et al.			

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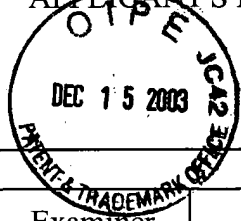
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	5,152,771	10/06/92	Sabbaghian et al.			
	5,135,531	08/04/92	Shiber			
	5,133,733	07/28/92	Rasmussen et al.			
	5,108,419	04/28/92	Reger et al.			
	5,104,399	04/14/92	Lazarus			
	5,102,415	04/07/92	Guenther et al.			
	5,100,425	03/31/92	Fischell et al.			
	5,100,424	03/31/92	Jang et al.			
	5,100,423	03/31/92	Fearnot			
	5,087,265	02/11/92	Summers			
	5,085,662	02/04/92	Willard			
	5,071,425	12/10/91	Gifford, III et al.			
	5,071,407	12/10/91	Termin et al.			
	5,053,044	10/01/91	Mueller et al.			
	5,053,008	10/01/91	Bajaj			
	5,041,126	08/20/91	Gianturco			
	5,019,088	05/28/91	Farr			
	5,011,488	04/30/91	Ginsburg			
	5,007,917	04/16/91	Evans			
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	5,002,560	03/26/91	Machold et al.			
	4,998,539	03/12/91	Delsanti			
	4,986,807	01/22/91	Farr			
	4,979,951	12/25/90	Simpson			
	4,969,891	11/13/90	Gewertz			
	4,957,482	09/18/90	Shiber			

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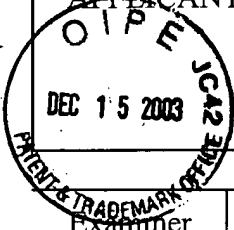
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	4,955,895	09/11/90	Sugiyama et al.			
	4,950,277	08/21/90	Farr			
	4,926,858	05/22/90	Giffort, III et al.			
	4,921,484	05/01/90	Hillstead			
	4,921,478	05/01/90	Solano et al.			
	4,907,336	03/13/90	Gianturco			
	4,898,575	02/06/90	Fischell et al.			
	4,873,978	10/17/89	Ginsburg			
	4,867,157	09/19/89	McGurk-Burleson et al.			
	4,857,046	08/15/89	Stevens et al.			
	4,857,045	08/15/89	Rydell			
	4,842,579	06/27/89	Shiber			
	4,807,626	02/28/89	McGirr			
	4,800,882	01/31/89	Gianturco			
	4,794,931	01/03/89	Yock			
	4,794,928	01/03/89	Kletschka			
	4,790,813	12/13/88	Kensey			
	4,790,812	12/13/88	Hawkins, Jr. et al.			
	4,733,665	03/29/88	Palmaz			
	4,728,319	03/01/88	Masch			
	4,723,549	02/09/88	Wholey et al.			
	4,706,671	11/17/87	Weinrib			
	4,705,517	11/10/87	DiPisa, Jr.			
	4,662,885	05/05/87	DiPisa, Jr.			
	4,650,466	03/17/87	Luther			
	4,643,184	02/17/87	Mobin-Uddin			

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	4,631,052	12/23/86	Kensey			
	4,619,246	10/28/86	Molgaard-Nielsen et al.			
	4,590,938	05/27/86	Segura et al.			
	4,580,568	04/08/86	Gianturco			
	4,447,227	05/08/84	Kotsanis			
	4,425,908	01/17/84	Simon			
	4,046,150	09/06/77	Schwartz et al.			
	3,996,938	12/14/76	Clark, III			
	3,952,747	04/27/76	Kimmell, Jr.			
	3,889,657	06/17/75	Baumgarten			
	3,683,904	08/15/72	Forster			
	3,592,186	07/13/71	Oster			
	3,472,230	10/1969	Fogarty			
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	B1 4,842,579	10/31/95	Shiber			

FOREIGN PATENT DOCUMENTS

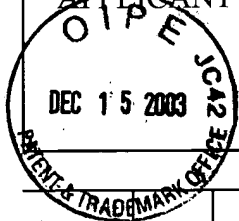
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	WO 99/58068	11/18/99	WIPO			
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Document No.	Date	Country	Class	Sub Class	Translation Yes No
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WO 99/42059	08/26/99	WIPO			
WO 99/40964	08/19/99	WIPO			
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WO 99/25252	05/27/99	WIPO			
WO 99/23976	05/20/99	WIPO			
WO 99/22673	05/14/99	WIPO			
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WO 99/09895	03/04/99	WIPO			
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WO 98/50103	11/12/98	WIPO			
WO 98/49952	11/12/98	WIPO			
WO 98/47447	10/29/98	WIPO			
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WO 98/34673	08/13/98	WIPO			
WO 98/33443	08/06/98	WIPO			
EP 0 852 132 A1	07/08/98	EPO			English Abstract
WO 98/23322	06/04/98	WIPO			
WO 98/02084	01/22/98	WIPO			

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Document No.	Date	Country	Class	Sub Class	Translation Yes No
WO 98/02112	01/22/98	WIPO			English Abstract
WO 97/42879	11/20/97	WIPO			
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FORM PTO-1449	Atty. Docket No.: 1001.1503102	Serial No.: 10/684,942
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT 	Applicant: Krolik et al.	
	Filing Date: October 14, 2003	Group Art: Unknown

Document No.	Date	Country	Class	Sub Class	Translation Yes No
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